#### APPLICATION PACKET

# Full Review & New Schools

## MINNESOTA OFFICE OF HIGHER EDUCATION PRIVATE CAREER SCHOOL LICENSE

Enclosed are the application forms you must complete to apply for a Minnesota Private Career School License. Also included are the state laws regulating private career schools doing business in the state of Minnesota.

The fees for a private school license application and solicitor permit are:

New School License Fee	
Schools that will offer no more than one program its first yea	r \$2,500
Schools that will offer more than one program its first year	\$2,500
	+
	\$500
	(per additional program)
School License Renewal Fee for category (A) schools	
Schools Offering One Program	\$1,150
Schools Offering Two or More Programs	\$1,150
	+
	\$200
	(per additional program – with max. annual licensing fee of \$2,000)
Solicitor Permit Fee – Per Solicitor	\$ 350.00
Multiple Location(s) – Location(s) 2-5	\$250.00 per site
Location(s) 6 or more	\$100.00 per site

Submit completed application for license and appropriate fee along with required materials to:

Office of Higher Education 1450 Energy Park Dr. Ste. #350 St. Paul, MN 55108 (north entrance elv. to 3<sup>rd</sup> floor)

Questions contact (651) 259-3976

# **APPLICATION FOR PRIVATE CAREER SCHOOL LICENSE**

Mail to: Office of Higher Education, Private Career School Licensure, 1450 Energy Park Dr. Suite 350, St. Paul, MN 55108

IDENTIFICATION INFORMATION									
Name of School*	School Pho	ne Number	Date of this Application						
School Address	City		State	Zip Code	Code				
Name of Administrative Director of School	Primary Co	ntact Number	Email	1					
Mailing Address	City		State	Zip Code					
Business Ownership Name			1						
* Name of School must ma	tch filing as listed with the	Minnesota Se	cretary of Sta	ate.					
SCHO	OOL OWNERSHIP INH	ORMATION	N						
Check the type of ownership of the school. Complete the section below which is indicated by the letter immediately following the type of ownership checked.         Proprietorship (A)       Partnership (B)       Limited Liability Company (C)       Corporation (D)									
A <b>PROPRIETORSHIP</b> - List the r	name and home address o	f the proprieto	or (please type	or print):					
Name of Proprietor	Address	City	State	Zip Code					
B <b>PARTNERSHIP</b> - List the name Addendum Form if more space is		-	ners in the par	tnership. Use	2				
Name, Title	Address	City	State 2	Zip Code	% owned				
Name, Title	Address	City	State 2	Zip Code	% owned				
C LIMITED LIABILITY COMPA home addresses. Use Addendum			• •	with their re	spective				
Name, Title	Address	City		Zip Code	% owned				
Name, Title	Address	City	State 2	Zip Code	% owned				
D CORPORATION - List the nam Addendum Form if more space is	-		th their respec	tive home ad	dresses. Use				
Name, Title	Address	City	State 2	Zip Code	% owned				
Name, Title	Address	City	State 2	% owned					

TAX INFORMATION								
MN Tax ID #	FEIN/ITIN/SSN # (Provide one of these)							

\*Tennessen Warning: The Minnesota Office of Higher Education must collect your school's MN Tax ID and Federal Tax ID to comply with Minn. Stat. 270C.72 Subd. 4. The Office must submit an annual license and registration report to the Minnesota Department of Revenue. This information is required for your annual application. Refusal to provide this information will result in the revocation of your approval through the Office. The Office will only share this information with the Minnesota Department of Revenue to satisfy annual reporting requirements. (FEIN – Federal Employee Identification Number, SSN-Social Security Number, ITIN – Individual Taxpayer Identification Number)

#### SELF CERTIFICATIONS

	proprietor, general partner, managing partner, authorized corporate officer, or other representative of the school the school's governing board to sign contracts on behalf of the school certifies that:
□ I agree	There is no pending administrative, civil or criminal proceeding for fraud or misrepresentation against the school's owner(s), officer(s), agent(s) or sponsoring organization
□ I agree	There has been no administrative, civil or criminal proceeding for fraud or misrepresentation against the school's owner(s), officer(s), agent(s) or sponsoring organization
□ I agree	The school's compensated recruiting agents who are operating in Minnesota identify themselves as agents of the school when talking to or corresponding with students and prospective students
□ I agree	The school does not withhold a student's official transcript because the student is in arrears or in default on any loan issued by the school to the student if the loan qualifies as a school loan under United States Code, title 11, section 523(a)(8)(b)
□ I agree	All instructors have with your school meet the following: A. recognized standing as a tradesperson or specialist supported by evidence from previous employers, or the possession of a baccalaureate degree; B. a high school diploma or its equivalent; and three years of full-time, trade, or professional experience in the trade or specialty taught, or successful completion of a college curriculum leading to a baccalaureate degree in that trade or specialty, or a combination of experience and education in the trade or specialty equivalent to three years of full-time experience
□ I agree	The quality and content of each program or course provides adequate preparation for students for entry level positions in the field of study for the program
□ I agree	The school continues to provide students and instructors the infrastructure and resources necessary to support effective teaching and learning such as technological infrastructure, scientific laboratories, libraries, performance spaces, clinical practice sites, museum collections, as appropriate to the school's offerings
□ I agree	The school conforms to commonly accepted minimum program lengths for their programs
□ I agree	The school has a clear policy on the maximum allowable credit for prior learning as a reasonable proportion of the requirements to complete the student's program

□ I agree	The school evaluates the success of its graduates. The school assures that its programs prepares students for advanced study or employment
□ I agree	If the school uses a written contract or enrollment agreement, the school provides a prospective student the school's catalog or its equivalent 5 days before the student signs the contract or enrollment agreement
□ I agree	The school does not use any contract or enrollment agreement that contains a wage assignment provision or a confession of judgement clause
□ I agree	If the school uses a written contract or enrollment agreement, the school provides an exact copy/duplicate of the signed contract or enrollment agreement to the student
□ I agree	If any of the school's programs require a clinical, internship, practicum or externship; the school understands that it is responsible for obtaining a site for a student
□ I agree	The school provides a list of its current clinical, internship, practicum or externship sites for students to review if a clinical, internship, practicum or externship is required

# AFFIDAVIT

If the applicant school is owned by an individual, this affidavit is to be made by that individual; if owned by a partnership, by the managing partner; if owned by a corporation or association, by one of its authorized officers.

STATE OF:

\_\_\_\_\_COUNTY OF:

\_\_\_\_\_, being duly sworn deposes and says that (s)he is the

(Name - print or type)

(Title of Position Held)

\_\_of \_\_\_\_\_

(Name of School)

respecting which the foregoing application for license is made; that (s)he has read the foregoing application; and that the statements therein made are true to the best of his/her knowledge, information and belief.

( Press Seal Below)

		(Signature)
Subscribed and sworn to this	day of	, 20
		(Notary Public)
County	State	

# CHECKLIST OF REQUIRED DOCUMENTS

## (Minnesota Statutes §136A.822 requires that the information below be furnished)

	_ A.	<b>APPLICATION FEE.</b> The new school license fee is \$2,500 for schools that will offer one program its first year, or $$2,500 + $500$ for each additional program, for schools that will offer more than one programs its first year. The school license renewal fee is \$1,150 for schools offering one program or \$1,150 + \$200 for each additional program with a maximum of \$2,000 for schools offering two or more programs.
	 _ B.	<b>FINANCIAL.</b> Submit a current balance sheet, income statement, and adequate supporting documentation by an independent public accountant or certified public accountant, including a review level engagement. Our office also has the right to require audited financial statements if deemed necessary. New applicants should also include a projected income statement for two years of operation as well as 2 years tax returns for anyone involved inownership.
	_ C.	TRANSCRIPTS. Submit a copy of your institution's student transcript.
	 _ <b>D</b> .	MINNESOTA SECRETARY OF STATE FILINGS. You must provide copies of the following documents with your application: Office of the Minnesota Secretary of State Certificate of Organization, Office of the Minnesota Secretary of State Articles of Organization, Office of the Secretary of State Notice of Registered Agent. (see <a href="https://www.sos.state.mn.us">www.sos.state.mn.us</a> )
	 _ E.	<b>ADVERTISING.</b> Provide copies of all media advertising and promotional literature and brochures currently used or expected to be used in the near future. All advertising must be approved in advance by OHE.
l	_ F.	CATALOG. Submit the current school catalog. (Refer to Appendix A)
[	_ G.	EQUIPMENT. Provide a list of tools and equipment available for instruction.
_[	 _ Н.	<b>INSPECTION REPORTS.</b> Attach copies of inspection reports issued by local and state regulating agencies indicating that the premises and conditions under which students work and study at the school are sanitary and safe. An example of a form used by the city of Minneapolis is included. A Certificate of Occupancy will also satisfy this requirement.
] - -	 _ I.	<b>CONTRACTS.</b> Provide copies of all Minnesota enrollment agreement forms and contract forms used orproposed to be used in Minnesota. <b>These must be approved in advance.</b> ( <i>Refer to Appendix B</i> )
] r	 _ J.	<b>PLACEMENT SERVICE.</b> If you offer or advertise a job placement service, submit a certified copy of the graduate placement record and a summary of job placements. This is not required with initial license. ( <i>Refer to Appendices C and D</i> )
_L	 _ K.	<b>BONDS.</b> Surety bonds shall be in the amount of 10% of the preceeding year's <u>Net Student Revenue</u> with a minimum amount of \$10,000, as specified in M.S. Chp. 136A.822, Subd. 6 Such bonds shall run to the State of <b>Minnesota/OHE.</b> ( <i>Refer to Appendix E</i> )
	_ L.	<b>SOLICITORS.</b> A Solicitor Permit costs \$350. The bond amount for each solicitor is (\$2,000/solicitor). Anapplication for Solicitor's Permit must be submitted yearly along with permit fee ( <i>Refer to Appendices F and G</i> ).
_[ _	_ M.	<b>INSTRUCTORS.</b> Provide the office with each instructors name and academic degrees earned or applicable education and experience and indicate which course(s) each instructor teaches. Instructors are required to have either abaccalaureate degree in the field they are teaching or three years work experience in the field they are teaching. ( <i>Refer to Appendix I</i> )
]. I	 _ N.	<b>PROGRAMS.</b> Provide a list of the specific programs that are offered and the purposes of such instruction. Programs require prior approval by OHE. (Refer to Appendix J)
	 _ 0.	<b>MULTIPLE LOCATION ADDENDUM.</b> Institutions operating more than one location must submita Multiple Location Addendum for each location, along with supporting documentation and fee. ( <i>Refer to AppendixK</i> )
	 _ P.	<b>STUDENT RECORDS.</b> *** Note: If your institution has a Record Plan on file with OHE that was signed after 01/01/12 you are not required to submit the Student Record Protection Plan for re-licensure at this time. Schools must either: (1) Submit a plan meeting the following: (a) copy of student records held in a secure depository; (b) an official designated to provide copies of records to students upon request; and (c) binding agreement for preserving student records if the school ceases to exist; or (2) if no binding agreement for preservation of student records exists a surety bond – or – Irrevocable Letter of Credit not to exceed \$20,000 must be filed with the office. (Refer to Appendices L-1 or L-2)
L	 _ Q.	<b>NET STUDENT REVENUE STATEMENT.</b> You must provide a statement of the Net Student Revenue from students for the preceding calendar or fiscal year ( <i>please see Appendix M for calculation</i> ). If this is your first license application submission this requirement is not necessary. ( <i>Refer to Appendix M</i> )
L r	 _ R.	<b>ORGANIZATIONAL FRAMEWORK.</b> Submit information showing that the school has an organizational framework with administrative and instructional personnel to provide the programs it intendsto offer.
	 _ S.	<b>ENROLLMENT.</b> For each course of instruction offered, provide the current enrollment. Also provide the maximum enrollment that you can accommodate with your present staff, equipment and facilities. ( <i>Referto Appendix N</i> )
	Т.	<b>WORKERS COMPENSATION.</b> Provide Workers Compensation insurance information. ( <i>Refer to Appendix O</i> )

# CATALOG CHECKLIST

#### Minnesota Statutes Section 136A.822 and 136A.827 - 136A.828 require the following information

Identifying data, such as volume and date. (136A.822 Subd. 10(1))
School name and address. (136A.822 Subd. 10 (2))
School governing body and officials. (136A.822 Subd. 10 (2))
Calendar of the school year showing legal holidays and beginning and ending dates of classes. (136A.822 Subd. 10 (3))
Enrollment dates and entrance requirements for each course. (136A.822 Subd. 10 (4))
Attendance policies: leave, absences, class cuts, make-up work, tardiness, unsatisfactory attendance. (136A.822 Subd. 10 (5))
Grading policies: grading system, standards of progress for minimum grades, probation, re-enrollments. (136A.822 Subd. 10 (6))
Conduct: policies and dismissal procedures. (136A.822 Subd. 10(7))
Detailed schedule of all fees: tuition, books, supplies, tools, activities, lab fees, service charges, and other charges. (136A.822 Subd. 10 (8))
Facilities & Equipment. (136A.822 Subd. 10 (10))
Course outline: course objectives, subject or units in each course, type of work or skill to be learned, time or clock hours, lecture or lab-time. (136A.822 Subd. 10 (11))
Previous credit: transfer credit, work/life experience credit. (136A.822 Subd. 10 (12))
Complaint procedure: a procedure to investigate and resolve student complaints (136A.822 Subd. 10 (14))
OHE name and address: the full name of the Minnesota Office of Higher Education and its current address. (136A.822 Subd. 10 (15))
Required Disclosure Statement: MN STATUTE 136A.828 Subd. 1. A school, agent, or solicitor may represent
in advertisements and <i>shall disclose</i> in catalogues, applications, and enrollment materials that the school is duly licensed by the state by prominently displaying the following statement:
"(Name of School) is licensed as a private career school with the Minnesota Office of Higher Education pursuant to Minnesota Statutes, sections <u>136A.821</u> to <u>136A.832</u> . Licensure is not an endorsement of the institution. Credits earned

#### (CONTINUED)

at the institution may not transfer to all other institutions."

Refund Policy. (136A.827) \*\*\* - Note this refund policy is the same language required to be Included in your Contract/Enrollment Agreement if your institution uses one – (see Contract/Enrollment Agreement Checklist below APPENDIX B)

During the 2021 legislative session, there were changes made to the statutory refund policy required by Private Career School Act refund. Our office has created the following language from the required refund policies for your institution's inclusion into your catalog and if applicable, contract or enrollment agreement. You are permitted to use the following refund policies in lieu of the statutory language. You only need to publish the policies that are applicable to the programs your institution offers (See A, B, or C).

### A. Refund policy for Programs that are 40 hours or less

If your application is rejected, you will receive a full refund of all tuition, fees, and other charges. If your program is 40 hours or less and you withdrew from your program, your refund will be pro-rated by the number of hours attended and the length of the program. To receive a full refund of tuition, fees, and other charges, you must withdraw from your program before the scheduled start day of the program. You will receive written notice acknowledging your withdraw request within 10 business days after receipt of the notice and you will receive a refund of any tuition, fees, and other charges within 30 business days of receipt of your withdrawal. Any mailed notice is effective as of the date of the postmark if sent by mail or the day it has been hand-delivered to the school. Notice to withdraw may also be given by email, verbally to a school official (not just an instructor), or with a voicemail to a school official. [insert school's policy who is a school official]

Schools must include this clause in your school's student catalog, contract, or enrollment agreement includes a separate statement on the fair market of the equipment and any of the equipment can be reasonably resold: "You may be entitled to a refund of your equipment and supplies costs if you return your equipment and supplies within 10 days of withdrawing if your supplies are in a condition suitable for resale. If you do not return your equipment and supplies or the supplies are not in a condition suitable for resale, this cost will be deducted from your tuition, fee, and other charge refund that you may be eligible for."

### **B.** Refund policy for Programs greater than 40 hours with a written contract or enrollment agreement

If your application is rejected, you will receive a full refund of all tuition, fees, and other charges. You will be entitled to a full refund of tuition, fees, and other charges if you give notice that you are canceling your contract within 5 business days after the contract or enrollment agreement is considered effective. A contract or enrollment agreement will be presumed to be effective on the date that the school notifies you that you have been accepted into the school and you have signed the contract or enrollment agreement. If the notification of acceptance into the school is sent by mail, then the effective day of being accepted is the postmark on the acceptance letter.

This five-day refund policy applies regardless of when the program starts. If you give notice more than 5 days after you signed the contract, but before the start of the program (or first lesson for an online distance education program), you will receive a refund of all tuition, fees, and other charges minus 15%, up to \$50, of the total cost of the program. If you withdraw after the start of your program and it has been more than 5 days after you signed the contract, your will receive a pro-rated refund of the entire cost of your program based on your last day of attendance. You will be provided a prorated tuition, fees, and other charges refund minus your initial application fees, up to \$50, and minus the less or 25% of the total tuition or \$100. Proration is based on whether your program is term-based or clock hours and how much of the program you have completed.

If your program is term-based, the completion rate is the number of calendar days from the first date of the program through your last documented date of attendance divided by the length of the program. The completion rate is calculated to the second decimal point (.XX).

If your program is clock-hour based, the completion rate is the number of clock hours you actually attended divided by the number of clock hours in the program. The completion rate is calculated to the second decimal point (.XX)

If you withdraw from your program after 75.00% of the program has completed, you are not entitled to a refund of tuition, fees, and other charges.

You will receive written notice acknowledging your withdraw request within 10 business days after receipt of the notice and you will receive a refund of any tuition, fees, and other charges within 30 business days of receipt of your withdrawal. Any mailed notice is effective as of the date of the postmark if sent by mail or the day it has been hand-delivered to the school. Notice to withdraw may also be given by email or verbally, including a voicemail, to a school official (defined by school's Student Right to Cancel policy). [insert school's policy who is a school official]

If you do not withdraw in writing or contact the school about your absence and you have not attended your program or contact the school about your absence for 14 consecutive days, you will be considered to have withdrawn from the school as of your last date of attendance. Your school is responsible for sending you a written notice of cancellation if you are withdrawn for failing to attend to your last known address. The confirmation from the school must state that the school has withdrawn your enrollment, and if this action was not the student's intent, the student must contact the school.

<u>Schools must include this clause if your school's student catalog, contract, or enrollment agreement includes a separate</u> <u>statement on the fair market of the equipment and any of the equipment can be reasonably resold:</u> "You may be entitled to a refund of your equipment and supplies costs if you return your equipment and supplies within 10 days of withdrawing if your supplies are in a condition suitable for resale. If you do not return your equipment and supplies or the supplies are not in a condition suitable for resale, this cost will be deducted from your tuition, fee, and other charge refund that you may be eligible for."

#### C. Refund policy for programs more than 40 hours without a written contract or enrollment agreement

If your application is rejected, you will receive a full refund of all tuition, fees, and other charges. You will be entitled to a full refund of tuition, fees, and other charges if you give notice that you are canceling your enrollment within 5 days of being accepted into the school or program

This five-day refund policy applies regardless of when the program starts. If you give notice more than 5 days after being accepted in to the program, but before the start of the program (or first lesson for an online distance education program), you will receive a refund of all tuition, fees, and other charges minus 15%, up to \$50, of the total cost of the program.

If you withdraw after the start of your program and it has been more than 5 days after you were accepted into your program, you will receive a pro-rated refund of the entire cost of your program based on your last day of attendance. You will be provided a prorated tuition, fees, and other charges refund minus your initial application fees, up to \$50, and minus the less or 25% of the total tuition or \$100. Proration is based on whether your program is term-based or clock hours and how much of the program you have completed.

If your program is term-based, the completion rate is the number of calendar days from the first date of the program through your last documented date of attendance divided by the length of the program. The completion rate is calculated to the second decimal point (.XX).

If your program is clock-hour based, the completion rate is the number of clock hours you actually attended divided by the number of clock hours in the program. The completion rate is calculated to the second decimal point (.XX)

If you withdraw from your program after 75.00% of the program has completed, you are not entitled to a refund of tuition, fees, and other charges.

You will receive written notice acknowledging your withdraw request within 10 business days after receipt of the notice and you will receive a refund of any tuition, fees, and other charges within 30 business days of receipt of your withdrawal. Any mailed notice is effective of the date of the postmark if sent by mail or the day it has been hand-delivered to the school. Notice to withdraw may also be given by email or verbally, including a voicemail, to a school official (defined by school's Student Right to Cancel policy). [insert school's policy who is a school official]

If you do not withdraw in writing or contact the school about your absence, and you have not attended your program or contact the school about your absence for 14 consecutive days, you will be considered to have withdrawn from the school as of your last date of attendance. Your school is responsible for sending you a written notice of cancellation if you are withdrawn for failing to attend to your last known address. The confirmation from the school must state that the school has withdrawn you from enrollment, and if this action was not the student's intent, the student must contact the school.

Schools must include this clause if your school's student catalog, contract, or enrollment agreement includes a separate statement on the fair market of the equipment and any of the equipment can be reasonably resold: "You may be entitled to a refund of your equipment and supplies costs if you return your equipment and supplies within 10 days of withdrawing if your supplies are in a condition suitable for resale. If you do not return your equipment and supplies or the supplies are not in a condition suitable for resale, this cost will be deducted from your tuition, fee, and other charge refund that you may be eligible for."

# CONTRACT OR ENROLLMENT AGREEMENT CHECKLIST

(Minnesota Statutes §136A.822 requires the following information)

Name and address of the school. (136A.826 Subd. 2 (1))
Clear and conspicuous disclosure that agreement is a legally binding instrument upon written acceptance of the student unless cancelled under section 136A.827 (136A.826 Subd. 2 (2))
Refund/cancellation policy: must be entitled "Buyer's Right to Cancel". (136A.826 Subd. 2 (3))
Refund Policy - *** As referenced above in APPENDIX A. (136A.826 Subd. 2 (3))
The total cost of program including tuition and all other charges shall be clearly stated. (136A.826 Subd. 2 (4))
Name and description of program including number of hours of class room instruction and/or number of distance education lessons. (136A.826 Subd. 2 (5))
Clear and conspicuous form and means for student to cancel, effective date of cancellation, and name/address to which the notice should be sent. (See "Sample" notice of cancellation) (136A.826 Subd. 2 (6))
Required Disclosure Statement: MN STATUTE 136A.828 Subd. 1. A school, agent, or solicitor may represent in advertisements and <i>shall disclose</i> in catalogues, applications, and enrollment materials that the school is duly licensed by the state by prominently displaying the following statement:
"(Name of School) is licensed as a private career school with the Minnesota Office of Higher Education pursuant to Minnesota Statutes, sections 136A.821 to 136A.832. Licensure is not an endorsement of the institution. Credits earned at the institution may not transfer to all other institutions."
Contract/Enrollment Agreement <i>does not contain a wage assignment provision or a confession of judgment clause.</i> (136A.826 Subd. 3)

An exact copy of the signed Contract/Enrollment Agreement is provided to the student upon execution. (136A.826 Subd. 3)

# SAMPLE

## INTERAGENCY REQUEST FOR FIRE INSPECTION OF SCHOOL FACILITY

\*\*\* Note (Instead of this form an inspector may submit their own documentation of inspection or report)

Date	Name of Inspector	
School Name		
School Address		
Contact Person		
	I inspected the above school facility. The facility me se as a school not to exceedpersons.	ets the requirements
	I inspected the above school facility. The above school facility. The above school facility. The above school ts of the fire code. (See attached list of violations). Violations must(date).	
	I re-inspected the above school facility. The previou and the facility meets the requirements of the fire code for use as a s persons.	
	Signature of Fire Inspector	
	Date Inspection is Valid Through	
	Fire Prevention Bureau	

**RETURN TO:** 

Office of Higher Education Private Career School Licensure 1450 Energy Park Drive, Suite 350 St. Paul, MN 55108 (651) 259-3976

#### INSTITUTIONAL SUMMARY OF GRADUATE STATUS BY PROGRAM

(note this form is available electronically from our office)

**Reporting Period (last complete 12 month period** July 1-June 30 prior to this application's due date):

July 1, \_\_\_\_\_ through June 30, \_\_\_\_\_

				SUMMARY BY STATUS							IN THE LABOR FORCE									
PROGRAM	AM GRAD		La				Continuing Education		Status Unknown		For Which		EMPLOYED FULL-TIME <sup>2</sup> Related		22 Not		Employed Part-Time or Short- Term <sup>3</sup>		ıployed	
												ined			Related					
	N	%	N	%	Ν	%	N	%	N	%	Ν	%	N	%	Ν	%	N	%	N	%
		100.0 %																		
		100.0 %																		
		100.0 %																		
		100.0 %																		
		100.0 %																		
		100.0 %																		

1. Includes deceased, incarcerated, medical condition, and not seeking employment.

2. Employed full-time means employed after graduation at one job for at least 4 weeks and for at least 30 hours per week.

3. Employed part-time or short-term means employed after graduation for fewer than four weeks or fewer than 30 hours per week.

## **GRADUATE PLACEMENT RECORD**

Name of Ins	stitution	is Form	Reporting Period:         July 1, through June 30,											
		Grad			Status	Emj	ployed Full-T	Гime		ployed Part-T or Short-Terr		Not Employed		
Gradua	te's Name	Date Employer Name & Addres	Employer Name & Address	Job Title (specific)	Unknown	Trained	Related	Unrelat	Trained	Related	Unrelat	Unempl	Unavail	Cont Ed
													ļ	
													1	

APPENDIX D

	Grad			Status	Emj	ployed Full-7	Time		oloyed Part-T or Short-Tern		١	Not Employe	d
Graduate's Name	Date	Employer Name & Address	Job Title (specific)	Unknown	Trained	Related	Unrelat	Trained	Related	Unrelat	Unempl	Unavail	Cont Ed

## **PRIVATE SCHOOL BOND**

		Bond Nur	nber:		
	Name(s) of Pri	ncipal(s)			
an individual doing business	as				
partners doing business as					
a corporation					
of	(Zip Code)	_, as princij	pal(s), (Stree	et) (City)	
(State)	(Zip Code)				
and	, of				
Name of Surety Company	y (Street)	(City)	(State)	(Zip Code)	
as surety, are held and firmly boun unto the state of Minnesota, and un accordance with Minnesota Statute severally bind ourselves, and each firmly by these presents.	nto any person who may ha as §136A.822. For the payn	ve a cause on this	of action for well and tru	a breach of contract, in aly to be made, we joint	ı ly and
The condition of this obligation is a private school under the provisions	_	-	re) conduct	ing, or is (are) about to o	conduct,
<b>NOW THEREFORE</b> , if the princ the principal(s) or its (their) agents injury in consequence of any breac	or solicitors, and shall pay h of any such contract or a	to the State greement, th	e and to such hen this obl	h persons, if any, as may	y sustain

otherwise it shall remain in full force and effect.

Signed and Sealed with our Seals and dated this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_.

Principal

Principal

Principal

Corporate Principal

President

a

Secretary

Surety Company

Attorney-in-Fact

Countersigned by Resident Agent

Address of Resident Agent

(Continued on Next)

## ACKNOWLEDGEMENT OF INDIVIDUAL OR PARTNERSHIP PRINCIPAL(S)

State of	)	
County ofss.		
On thisday of	, 20	, before me appeared
and(s)he/they is (are) the principal(s) in th act and deed.	ne foregoing bond	, before me appearedto me personally known, who before me did swear that and acknowledged that (s)he/they executed the same as his/her/their free
		Notary Public
(Seal)		County,
		My commission expires
ACKN State ofss.		NT OF CORPORATE PRINCIPAL
county of	)	
On thisday of	, 20_	, before me appeared
did say that they are the president and affixed thereto is the corporate seal of	the secretary, res	pectively, of the corporate principal in the foregoing bond; that the seal and that said bond was executed in behalf of the corporation by authority o
did say that they are the president and affixed thereto is the corporate seal of its board of directors, and they acknow	the secretary, res	pectively, of the corporate principal in the foregoing bond; that the seal and that said bond was executed in behalf of the corporation by authority o ument to be the free act and deed of the corporation.
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Attach Power of Attorney and Copy of Resolution for Agent Named Above.

# **APPLICATION FOR SOLICITOR'S PERMIT**

Mail to: Office of Higher Education Private Career School Licensure 1450 Energy Park Dr., Suite 350 St. Paul, MN 55108

**GENERAL INFORMATION AND INSTRUCTIONS:** Any person seeking a permit to solicit students who are residents of the state of Minnesota for purposes of enrolling in private career schools must obtain a solicitor's permit pursuant to the requirements of Minnesota Statutes 136A.822. The completed application, a continuous surety bond in the amount of \$2,000 and a nonrefundable permit fee of \$350 (check payable to the State of Minnesota/OHE must be submitted to the above address. Permits expire one year from date of issuance. Solicitation is prohibited until the solicitor's permit has been received by the applicant.

#### **APPLICANT INFORMATION**

Name of Solicitor (Last, First, Middle)

Home Address (Street/P.O. Box, City, State, Zip Code)

Telephone Number

## **IDENTIFICATION OF SCHOOL(S)**

Identify the location for which you are applying for permit. Attach an addendum sheet if you wish to represent more than three locations with the same school. **NOTE:** A separate permit application must be made for each school which is under the same common ownership.

	School Name	Telephone Number
Location 1	Address (Street/P.O. Box, City, State, Zip Code)	
	School Name	Telephone Number
Location 2	Address (Street/P.O. Box, City, State, Zip Code)	
	School Name	Telephone Number
Location 3	Address (Street/P.O. Box, City, State, Zip Code)	

## EACH AFFIDAVIT MUST BE PROPERLY SIGNED AND NOTARIZED

AFFIDAVIT	OF SOLICITOR
STATE OF:	
COUNTY OF:	
	, being duly sworn deposes and says that (s)he is the
Name (print or type)	, being dury sworn deposes and says that (s)ne is the
of	
Title of Position Held	Name of School Location
	's permit is made; that (s)he has read the foregoing best of his (her) knowledge, information, and belief; and owledge of the provisions of Minnesota Statutes, Chapter
Subscribed and sworn to before me this	Signature of Solicitor
day of, 20	Notary Public
	Notary Public
	County,
(SEAL) My	y Commission Expires:
AFFIDAVIT OF	F SCHOOL OFFICIAL
STATE OF:	
COUNTY OF:	
	, being duly sworn deposes and says that (s)he is the
Name of School Official (print or type)	, being dury sworn deposes and says that (s)ne is the
of	
Position Held	Name of School
and that the applicant solicitor named in this application l the provisions of Minnesota Statutes, Chapter 136A.822.	has been furnished a copy, has read, and has knowledge of
Subscribed and sworn to before me this	Signature of School Official
day of, 20	
	Notary Public
	County,
(SEAL) My	y Commission Expires:

## **BLANKET PRIVATE CAREER SCHOOL SOLICITOR'S BOND**

Dond Number

		DOIL			
	Nar	me(s) of Principal	(s)		
an individual doing busine	ess as				<u>.</u>
partners doing business as					
a corporation					
of			, as pr	incipal(s),	
(Street)	(City)	(State)	(Zip Code)		
and	, of				
Name of Surety Compan	У	(Street)	(City)	(State)	(Zip Code)
as surety, are held and firmly bour	d in the sum of		do	llars (\$	) unto the state

of Minnesota, and unto any person who may have a cause of action for a breach of contract, in accordance with Minnesota Statutes \$136A.822. For the payment of this well and truly to be made, we jointly and severally bind ourselves, and each of our heirs, executors, administrators, representatives, successors and assigns, firmly by these presents.

The condition of this obligation is such that whereas the principal(s) is (are) conducting, or is (are) about to conduct, a private school under the provisions of Minnesota Statutes §136A.822, and whereas the principal(s) may at its (their) option, file a blanket surety bond of said solicitor's.

**NOW THEREFORE**, if the principal(s) shall faithfully perform all contracts and agreements with students made by the principal(s) or its (their) agents or solicitors, and shall pay to the State and to such persons, if any, as may sustain injury in consequence of any breach of any such contract or agreement, then this obligation shall be void, but otherwise it shall remain in full force and effect.

The liability of the surety of this bond shall not exceed two thousand dollars (\$2,000) for any one solicitor, and shall be the sum total of any and all recoveries hereunder not to exceed dollars (\$).

Principal	Corporate Principal
Principal	President
Principal	Secretary
	Surety Company
	Attorney-in-Fact
	Countersigned by Resident Agent

Address of Resident Agent

(Continued on Next)

## ACKNOWLEDGEMENT OF INDIVIDUAL OR PARTNERSHIP PRINCIPAL(S)

State of) ss.	
County of)	
On this day of	, 20, before me appeared
and	to me personally known, who before me did swear that ng bond and acknowledged that (s)he/they executed the same as his/her/their free ac
and deed.	
	Notary Public
(Seal)	County,
	My commission expires
ACKNOWLED	OGEMENT OF CORPORATE PRINCIPAL
State of)	
State of) SS. County of)	
	, 20, before me appeared
and	to me personally known, who being by me duly sworn
did say that they are the president and the secret	ary, respectively, of the corporate principal in the foregoing bond; that the seal
did say that they are the president and the secret affixed thereto is the corporate seal of the corpo	
did say that they are the president and the secret affixed thereto is the corporate seal of the corpo	tary, respectively, of the corporate principal in the foregoing bond; that the seal ration, and that said bond was executed in behalf of the corporation by authority of aid instrument to be the free act and deed of the corporation.
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Attach Power of Attorney and Copy of Resolution for Agent Named Above.

# NEW INSTRUCTOR FORM

Minnesota Rule 4880.1900, requires schools licensed pursuant to Minnesota Statutes §136A.822 to inform the Office of Higher Education within 30 days of certain changes. One of those changes is the hiring of new instructors. This means that a school must inform this office of all new instructors within 30 days of the hire.

Please make copies of this form so that you can submit it as necessary throughout the year. Provide the office with each new instructor's name, the academic degrees earned or applicable education and work experience as specified in Minnesota Rule 4880.1900. Also provide a list of the course or courses for which the person will be responsible.

#### Institution:

Instructors Name:	Date Hired:	/	/	

Qualifications:

Education:

Experience:

Course(s) to be taught:

## LICENSED INSTITUTIONS

## **PROCESS & CRITERIA FOR NEW PROGRAM APPROVAL**

#### **A.** Criteria

Minn. Rules 4880.1700 subp. 4: A program is a course or a grouping of courses that is advertised or listed in the school's catalog, brochures, electronic display, or other publications, or for which the school grants a diploma, certificate, or other formal recognition that does not confer a degree. A program is the same as a "course of instruction." For each program, the school must provide the following information:

#### **B.** Information required:

- (1) Title of program and type of diploma/certificate awarded on completion
- (2) Geographic location
- (3) Proposed implementation date
- (4) Length of program in quarter or semester credits, lessons, or clock hours
- (5) Number of graduates expected annually
- (6) Curriculum required to complete the program. Outline of each course including course objectives, subjects or units in the course, type of work or skill to be learned, and approximate time, hours, or credits to be spent on each subject or unit
- (7) Data that supports employment opportunities for graduates
- (8) Physical resources needed, including equipment currently available for program instruction
- (9) Information services needed, including libraries
- (10) Academic and administrative mechanisms planned for monitoring the quality of the program
- (11) Documentation of availability of clinical, internship, practicum, or externship sites, if applicable

## MULTIPLE LOCATION ADDENDUM

#### **<u>Fee:</u>** \$250 per location 2-5 - \$100 per location after 5th

Minnesota Statutes §136A.822 requires that an addendum be completed for each location other than the principal site.

IDENTIFICATION INFORMATION					
Name of School*	School Phone Number	Date of this Application			
School Address	City	State	Zip Code		
Name of Administrative Director of School	Primary Contact Number	Email			
Mailing Address	City	State	Zip Code		
Business Ownership Name					

LOCATION OF ADDITIONAL SITE			
Name of School		Telephone Numbe	er
School Address	City	State	Zip Code

#### **REQUIRED ATTACHMENTS**

- A. CATALOG. Submit if different from primary site.
- **B. INSTRUCTORS.** List their name, the subjects they will be teaching, and their qualifications.
- C. EQUIPMENT. For each program offered, provide a list of the tools and equipment available for instruction.
- **D. INSPECTION REPORTS.** Attach copies of inspection reports issued by local and state regulating agencies. These should show that the facilities are sanitary, healthful and safe.
- **E. ENROLLMENT.** Provide the current enrollment for each program and the maximum enrollment that can be accommodated with present staff, equipment and facilities.

## STUDENT RECORDS PROTECTION PLAN

#### (In the Event of Institutional Closure)

\*\*\* Please note that if your institution has a Student Record Protection Plan on file with OHE that was signed after 01/01/15 you **are not required to submit this form** for re-licensure at this point. OHE does reserve the right to require this form be completed as part of licensure renewal.

The Private Career School Act (Minnesota Statutes §136A.822) requires that all licensed institutions provide to the Minnesota Office of Higher Education an agreement between the licensed institution and another organization acceptable to OHE, to maintain and make accessible official copies of the student records of the licensed institution should it cease operation. This form is to be filled out by the organization which agrees to hold student records in the event of institutional closure.

	agrees to hold the student records of	
(Name of Organization to Receive the Records)	¥	
	for at least 50 years should the	
(Name of Licensed Institution)		
	cease to exist, and agrees to maintain	
(Name of Licensed Institution)		
and provide official copies of these records.		
	(Organization to Receive Student Records)	
further agrees to inform the Minnesota Office of Higher inability to continue to execute this agreement.	r Education in writing at the address below in the event of its	
(Name and Address of Organization to Receive the Reco	ords)	
(Name)	(Title)	
(Signature)	-	
Subscribed and sworn to thisday of	, 20	
Notary (Signature and Seal)	- (SEAL)	

Please return this completed form to:

Minnesota Office of Higher Education, 1450 Energy Park Drive, Suite 350, St. Paul, MN 55108 - ATTN: Private Career School Licensure

# PRIVATE CAREER SCHOOL STUDENT RECORDS BOND

		Bond	l Number:		
	Na	me(s) of Principal	(s)		
an individual doing business as					
partners doing business as					
a corporation					
of(Street)		(State)		as principal(s),	
and	•	· · · ·			
Name of Surety Company	, ==	(Street)	(City)	(State)	(Zip Code)
as surety, are held and firmly bound in the sur	n of			dollars (\$	) unto the state
preserving student records after		principal(s)		shall cease	e to exist.
administrators, representatives, successors and The condition of this obligation is such that w school under the provisions of Minnesota Stat <b>NOW THEREFORE</b> , if the principal(s) rem	hereas th tutes, Cha	ne principal(s) is (are apter 136A.822 Sub	e) conducting, or d. 4.		
full force and effect		· · · · · · · · · · · · · · · · · · ·	0	· · · · · · · · · · · · · · · · · · ·	
Signed and Sealed with our Seals and dated th	uis	day of	, 20	·	
Principal		-	(	Corporate Principal	
Principal		-		President	
Principal		-		Secretary	
		-		Surety Company	
		-		Attorney-in-Fact	
		-	Counter	signed by Resident A	Agent
		-	Addı	ess of Resident Agen	nt
	((	Continued on Nex	ct)		

### ACKNOWLEDGEMENT OF INDIVIDUAL OR PARTNERSHIP PRINCIPAL(S)

State of) ss.					
County of)					
On thisday of	, 20, before me appeared				
and(s)he/they is (are) the principal(s) in the foregoin act and deed.	to me personally known, who before me did swear ng bond and acknowledged that (s)he/they executed the same as his/her/their fi				
	Notary Public				
(Seal)	County,				
	My commission expires				
	GEMENT OF CORPORATE PRINCIPAL				
State of) ss.					
SS)					
	, 20, before me appeared				
did say that they are the president and the secre	to me personally known, who being by me duly sw tary, respectively, of the corporate principal in the foregoing bond; that the seal ration and that said bond was executed in behalf of the corporation by authorit				
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Attach Power of Attorney and Copy of Resolution for Agent Named Above.

# NET STUDENT REVENUE STATEMENT

(School Name)

License applications must include Net Student Revenue earned in the preceding year from student tuition, fees, and other required institutional charges as described in M.S. Chapter 136A.822, Subd. 6 (b)(1).

**Net Student Revenue:** Total gross revenues generated from only educational activities (e.g. tuition, fees, kits, books and supplies, housing and meal plans if billed through a student account system, etc.) less amounts representing reduction of those gross revenues due to student withdrawals during a program which required refund calculations. A school may also subtract from the total gross revenues from educational activities any grant/scholarship provided by the school in the form of an unfunded tuition discount (e.g. military discount, etc.).

The amount of the surety bond shall be ten percent of the preceding year's net student revenue, fees, and other required institutional charges collected, but in no event less than \$10,000, except that a school may deposit a greater amount at its own discretion. A school that operates at two or more locations may combine net student tuition, fees, and other required institutional charges for all locations for the purpose of determining the annual surety bond requirement.

A school in each annual application for licensure must compute the amount of the surety bond and verify that the amount of the surety bond complies with this subdivision. In lieu of bond, the applicant may deposit with the commissioner of management and budget a sum equal to the amount of the required surety bond in cash, an irrevocable letter of credit issued by a financial institution equal to the amount of the required surety bond, or securities as may be legally purchased by savings banks or for trust funds in an aggregate market value equal to the amount of the required surety bond. (M.S. Chapter 136A.822, Subd. 6 (d))

**NOTE to OUT OF STATE LICENSED SCHOOLS.** Licensed schools that are based in other states should provide only their Net Student Revenue for Minnesota Students.

Please identify the 12 month period used to determine the school's net tuition income:

month	/ day	_/ year	to _	month	_/ day	/ year
TOTAL NET STUDENT REVENUE						

\$\_\_\_\_\_

**Organizational Framework (insert)** 

# ENROLLMENT

School:\_\_\_\_\_

Program	Current Enrollment	Maximum Enrollment

# WORKERS' COMPENSATION INSURANCE VERIFICATION

Minnesota Statutes 176.182 requires as a condition to issue or renew a license or permit, that a business in Minnesota must present evidence of compliance with the workers' compensation insurance coverage requirements of Minnesota Statutes 176.181, subdivision 2 by providing, to the agency issuing such license or permit, the name of the insurance company, the policy number, and the dates of coverage or the permit to self-insure.

Please provide the requested information or indicate that the school has no employees required to be covered by workers' compensation insurance in the spaces provided.

# No Workers' Compensation Insurance Coverage:

has no employees and is therefore exempt from the requirements of Minnesota Statutes 176.181, Subd. 2. to obtain workers' compensation insurance coverage.

School Name

Signature of School Officer/Official

# Workers' Compensation Insurance Coverage Information:

Insurance Company Name: \_\_\_\_\_\_\_\_(Company name, not agency name)
Insurance Policy Number: \_\_\_\_\_\_

Insurance Coverage Dates:\_\_\_\_\_to\_\_\_\_

School Name

Signature of School Officer/Official